



**ORAL EXAMINATION CTA PSYCHOTHERAPY SCORING SHEET  
Form 12.7.12**

**CANDIDATE** \_\_\_\_\_ **DATE** \_\_\_\_\_

Each of the following descriptions is graded on a five-point scale. Select the number rating which you believe best describes the candidate's performance.

**1. Professional and personal identity; ability to describe his/her own ideological beliefs and relate them to the philosophical assumptions of transactional analysis, including the implications of cultural, racial, social identities and the significance of this on the assessment, the contract, the work and the therapeutic relationship**

5	4	3	2	1	
Awareness of own social and cultural identity and that of the client, and the possible implications of these on the therapeutic work, including the significance of differences; clearly related to philosophical assumptions.		Some awareness of social, racial and cultural identity and differences in the therapeutic relationship.		Little or no awareness of significance of racial, cultural and social factors.	1 _____ 2 _____ 3 _____ 4 _____ total _____

**2. Establishment and maintenance of an I'm OK – You're OK relationship**

5	4	3	2	1	
Competent and effective relationship including understanding of ulterior processes (e.g., games and transactions as transference and countertransference), and the complexity of the therapeutic relationship.		Evidence of an effective empathic connection with the client; some understanding of relationship dynamics and the appropriate demonstration of protection, permission and potency.		Scant evidence of an empathic connection and little understanding of the complexity of the therapeutic relationship.	1 _____ 2 _____ 3 _____ 4 _____ total _____

**3. Theory: Capacity to conceptualize psychotherapy in terms of transactional analysis theoretical concepts**

5	4	3	2	1	
Discussion of TA theory including different trends and approaches as well as recent developments.		Knowledge of several major approaches in TA.		Scant knowledge – only one approach.	1 _____ 2 _____ 3 _____ 4 _____ total _____

**4. Integration into transactional analysis practice: Capacity to discuss a range of treatment options and support the chosen strategy**

5	4	3	2	1	
Flexible, in-depth, discussion of practice in relation to theory.		Discussion of practice in relation to theory, some reference to different options.		Little ability to relate practice to theory.	1 _____ 2 _____ 3 _____ 4 _____ total _____

**5. Clarity of client assessment**

5	4	3	2	1	1 _____
Assesses situations and issues accurately.		Perceives problems but is unclear as to their significance.		Lack of awareness of major issues.	2 _____
					3 _____
					4 _____
					total _____

**6. Contracts and treatment direction**

5	4	3	2	1	1 _____
Appropriate shared focus/treatment contract clearly related to treatment direction, interventions and the implications of the therapeutic context (setting, duration, frequency, legal obligations).		Appropriate shared focus or treatment contract but not related to treatment direction or interventions; or evidence of therapeutic movement, but no negotiated contract; some awareness of the implications of the therapeutic context.		No clear goal or treatment contract. Interventions indicate little or no treatment direction; little awareness of the significance of the therapeutic context.	2 _____
					3 _____
					4 _____
					total _____

**7. Effectiveness: Can the candidate demonstrate creativity and effectiveness and discuss interventions within the context of the relationship, the contract and the stage of treatment? Does the candidate monitor the effect of his/her interventions on the client and respond to this?**

5	4	3	2	1	1 _____
Most interventions accomplish what they are designed to achieve; therapist monitors the effect of his/her interventions and responds appropriately.		Interventions are moderately effective; therapist somewhat attuned to the client's response.		Interventions are counterproductive or ineffective; therapist is ill attuned to the client's response.	2 _____
					3 _____
					4 _____
					total _____

**8. Professionalism**

5	4	3	2	1	1 _____
Is aware of privileges and limitations of training and clearly relates to ethical principles.		Limited awareness of privileges and limitations of training, but clearly relates to ethical principles.		Serious question about awareness of limitations and/or ethical principles.	2 _____
					3 _____
					4 _____
					total _____

**9. Capacity for self-reflection**

5	4	3	2	1	1 _____
High awareness and understanding of own process and its impact on therapeutic intervention.		Some awareness and understanding of own process and its impact on therapeutic intervention.		Little awareness and understanding of own process and its impact on therapeutic intervention.	2 _____
					3 _____
					4 _____
					total _____

**10. Overall: Demonstration of an understanding and practice of the therapeutic relationship using TA concepts**

5	4	3	2	1	1 _____
Ethical effective TA theory-based practice suitable to the context.		Some competence in theory and practice.		Low competence using TA.	2 _____
					3 _____
					4 _____
					total _____

**EXPLANATIONS**

Points are to be used as a guide and the judgment of examiners is the final decision. However, deferment is automatic: 1) if a candidate receives a rating of '1' from all of the examiners in any category, or 2) if the total score is 25 points or below for the examination.

If three or more examiners vote to pass, the candidate passes. If two examiners vote to defer, the candidate is deferred. (No process facilitator is called.)

A process facilitator is an experienced examiner whose purpose is to help the board solve problems arising during the examination procedure. Anyone can request the chairperson to call a process facilitator at any time during the examination. The candidate may request the chairperson to call a process facilitator at any point before the individual board members begin to score. This point is to be announced by the board chairperson, who will ask the board if they are ready to begin scoring. After this point, only the chairperson or a board member (through the chairperson) can call for a process facilitator.

The process facilitator will establish a clear contract with the board and will help the board reach a decision. If no decision to certify or defer is reached, the examination supervisor can be called. The examination supervisor can help the board reach a decision or can discharge the board and convene a new board to re-examine the candidate. Neither the process facilitator nor the examination supervisor will examine or vote.

**TOTALS**

- 1 \_\_\_\_\_
- 2 \_\_\_\_\_
- 3 \_\_\_\_\_
- 4 \_\_\_\_\_
- 5 \_\_\_\_\_
- 6 \_\_\_\_\_
- 7 \_\_\_\_\_
- 8 \_\_\_\_\_
- 9 \_\_\_\_\_
- 10 \_\_\_\_\_

EXAMINER'S NAME	CERTIFY	DEFER	
1. _____	( )	( )	
2. _____	( )	( )	COMBINED TOTAL _____
3. _____	( )	( )	
4. _____	( )	( )	AVERAGE _____ (Combined total divided by 4)

**SHORT COMMENTS:**